



Re: Your Demat account with RKSV Securities India Pvt. Ltd.'s Assignment of DP Operations

We have decided to assign the operations of our DP-RKSV Securities India Pvt. Ltd. to Upstox Securities Pvt. Ltd. As per the terms of assignment there will be no change in your BOID, but henceforth your account will be handled by Upstox Securities Pvt. Ltd. You are requested to take one of the following steps on or before (date).

1. The Demat account held by you with RKSV Securities India Pvt. Ltd. shall stand assigned and transferred to Upstox Securities Pvt. Ltd. and the rights and obligations of both parties contained in the DP BO Agreement/Rights & Obligation document (R&O) shall remain in operation. There will be no requirement of submitting a fresh Account Opening Form or signing a fresh agreement.
2. In case you do not wish to continue with us, you may open a new account with another DP of your choice and transfer the securities in your existing Demat account with us to such a new account and close your existing account. Such transfer of securities to an identical account (same account holder(s) in the same order of names) with another DP will not attract any transaction and account closure charges, if any.
  - Follow the steps in this link for the account closure process: [How do I close my Upstox Account?](#)
  - Follow the steps in this link to know how to view your holding statement: [What are Demat and T1 Holdings ? - Upstox Help Center](#)
  - You may view our pricing policy sheet here: [Brokerage Charges - STT Charges - Demat Charges - Upstox](#)

Please note: If none of the options mentioned above are exercised by you on or before 01<sup>st</sup> March, 2024 the following action will be taken:

- Your existing account will be managed by the Assignee DP i.e. Upstox Securities Pvt. Ltd.
- It will be deemed that you have given consent for the following:
  1. Tariff structure of assignee DP
  2. The balance of securities as mentioned in the statement of holding
  3. along with this letter is true and reflects the correct balances
  4. The assignee DP and you shall be bound by & adhere to the statutory DP-BO Rights & Obligations document.

If your existing Demat account is KYC non compliant, then you are required to fulfill KYC requirements, failing which your Demat account will be “frozen for debit” & you will not be able to operate your Demat account.

**RKSV Securities India Private Limited**

Registered Office: 807, New Delhi House, Barakhamba Road  
Connaught Place, New Delhi-110001. CIN: U74900DL2009PTC189166  
E-mail: HYPERLINK "mailto:contact@rksv.in" [contact@rksv.in](mailto:contact@rksv.in), Compliance Officer: HYPERLINK  
"mailto:compliance@rksv.in" [compliance@rksv.in](mailto:compliance@rksv.in)  
SEBI Reg. No. (NSE / BSE) - INZ000185137 | NSE TM Code:13942 | BSE TM Code: 6155 | CDSL Reg. No.: IN-DP-118-2015  
Upstox is the registered brand of RKSV Securities India Pvt. Ltd. | Visit us on: [www.upstox.com](http://www.upstox.com)

**Corporate Address**

30<sup>th</sup> Floor, Sunshine Tower,  
Senapati Bapat Marg,  
Dadar (West)  
Mumbai-400013